



Welcome to the Chartered Institute for Securities & Investment



A warm welcome from Tracy

We are delighted to welcome you to the Chartered Institute for Securities & Investment (CISI), the professional body of choice for those working in financial services worldwide.

Formed in 1992 as a not-for-profit organisation out of the London Stock Exchange, we now support a global community of over 54,000 members in more than 100 countries. Last year, over 40,000 CISI exams were taken in 81 countries, demonstrating our ongoing commitment to raising standards across the financial services profession.

As a FINSIA member joining us through our new strategic alliance, you are becoming part of one of the most far-reaching professional networks in the world. We recognise the strength of the Australian and New Zealand financial services community, and we are committed to ensuring you feel fully supported as you transition into CISI membership.

Our mission is simple and longstanding:

“To champion education, lifelong learning and integrity in our sector. To raise standards of knowledge, skills and behaviour globally to promote professionalism, accessibility and sustainability, while acting as an authoritative body, enhancing public trust and confidence in financial services.”

For us, professionalism means combining knowledge, skills, and ethical behaviour. We maintain these standards through our world-class qualifications, ongoing learning resources, and our commitment to integrity and ethics – areas where we are recognised internationally as a leading professional body.

Within this brochure, you will find information about the high-quality benefits and resources available to you as a CISI member, as well as how we support our expanding community across Australia and New Zealand. Whether you are just starting out or are already established in your career, we are here to help you grow, stay connected, and progress with confidence.

We look forward to supporting you on your CISI journey.

Kind regards

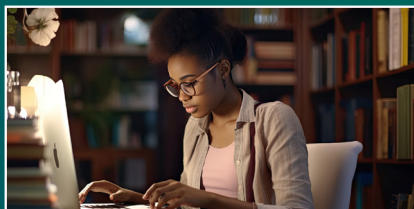


Tracy Vegro OBE, CEO,
Chartered Institute for Securities & Investment



Your CISI membership at a glance

Explore the world-renowned membership offered to support you throughout your career.



Learning platform

Our innovative and advanced Learning Platform smoothly combines all CISI CPD resources into a single convenient location. Access various content types, such as videos, podcasts, e-learning modules, articles, and more. You can also browse a curated collection of content tailored specifically for our members across Australia and New Zealand.



CPD scheme and log

Record, evidence, and track your professional development to meet regulatory requirements and review your progress. All CISI CPD resources are automatically logged in your personal CPD log.



Membership designations

Highlight your achievements and boost your competitive edge with membership designations that can be used after your name. Available to CISI members at Associate grade or higher.



Member badges

Showcase your membership or qualification achievements with exclusive badges on your digital and printed materials, such as your email signature or business cards.



Events, webinars and networking

Enjoy hundreds of events with expert insights covering the latest topics and trends in financial services. Meet local members and expand your personal network, opening up new opportunities.



Mentoring scheme

Develop your skills and enhance your career by sharing knowledge and experience through our mentoring scheme. Whether you want to be a mentor or mentee, you can empower yourself and others.



Professional forums

Come together to share knowledge, insights, and wisdom related to your profession. Enjoy carefully curated content and network with others who share your interests.



MyCISI member app

Our award-winning mobile app brings all your membership benefits to your fingertips. Access all the benefits you enjoy with the touch of a button.



Thought Leadership

Our CPD is built on thought leadership that keeps you ahead of industry change through expert insight, emerging trends, and real-world analysis.

Your first steps as a CISI member

Once you've created your CISI account, complete your membership declaration and the IntegrityMatters test (as detailed in your email).

Then, you're ready to begin your CISI membership journey.

- 1**
Review your personal details on MyCISI
- 2**
Adjust your communication preferences.
- 3**
Download your membership badges.
- 4**
Add your CISI designations to your LinkedIn profile.
- 5**
Browse the ANZ Learning Collection.
- 6**
Discover the full range of your membership benefits.

Everything you need is available through **MyCISI**, your member portal.

Once you have set your password and followed the steps in your welcome email, access MyCISI to discover all your benefits.

Your CPD requirements

All CISI members are required to complete at least 10 hours of CPD each year during their membership.

Of these, one hour (10%) must be dedicated to Ethics & Integrity CPD.

Your CISI CPD Log simplifies this process and makes it automatic.

Anything completed on the Learning Platform is recorded instantly, and you can also add external CPD and evidence.

We ask our members to do this as a commitment to professionalism, to keep their knowledge current, and to align with global best practices. On the following pages, we will show you how to easily meet your CPD requirements using CISI resources.

If you are working towards individual Chartered status, you can contact us to be transferred to the CISI Chartered CPD Scheme, where you will need to complete 35 hours of CPD each year.

ANZ@cisi.org

NB: Retired members and members over the age of 70 are exempt from meeting the CISI CPD requirements.



How your CISI CPD Log works

What your CPD Log does for you:

- ✓ Automatically records CPD completed via the Learning Platform
- ✓ Tracks your hours and progress in real-time
- ✓ Stores certificates and evidence securely
- ✓ Allows you to add external CPD entries
- ✓ Accessible on desktop, mobile, and through the MyCISI App



How to use it

1

Log in to MyCISI and open your CPD Log.

2

Review CPD activities that have been recorded automatically.

3

Add any external CPD, including in-house training or events.

4

Export your complete CPD record whenever needed, whether for audits, employer reviews, or your own records.

Your CPD Log helps you meet your annual CPD requirements easily, with everything stored in one simple, central location.

Learning platform: Your professional development hub

The CISI Learning Platform consolidates all your CPD resources into a single, user-friendly space, designed to support your learning wherever you are across Australia, New Zealand, or the world. With a combination of short, engaging content and comprehensive learning materials, it offers you complete flexibility to develop your skills at your own pace.

Access a wide range of resources, including:

ANZ Learning Collection specifically designed for our members in Australia and New Zealand

Professional refreshers to update and reinforce core knowledge

Micro-modules for quick, targeted learning

Webinars available live or on demand

CISI TV with hundreds of videos from expert-led events

Articles and thought leadership on current industry topics

Learning Platform Features:

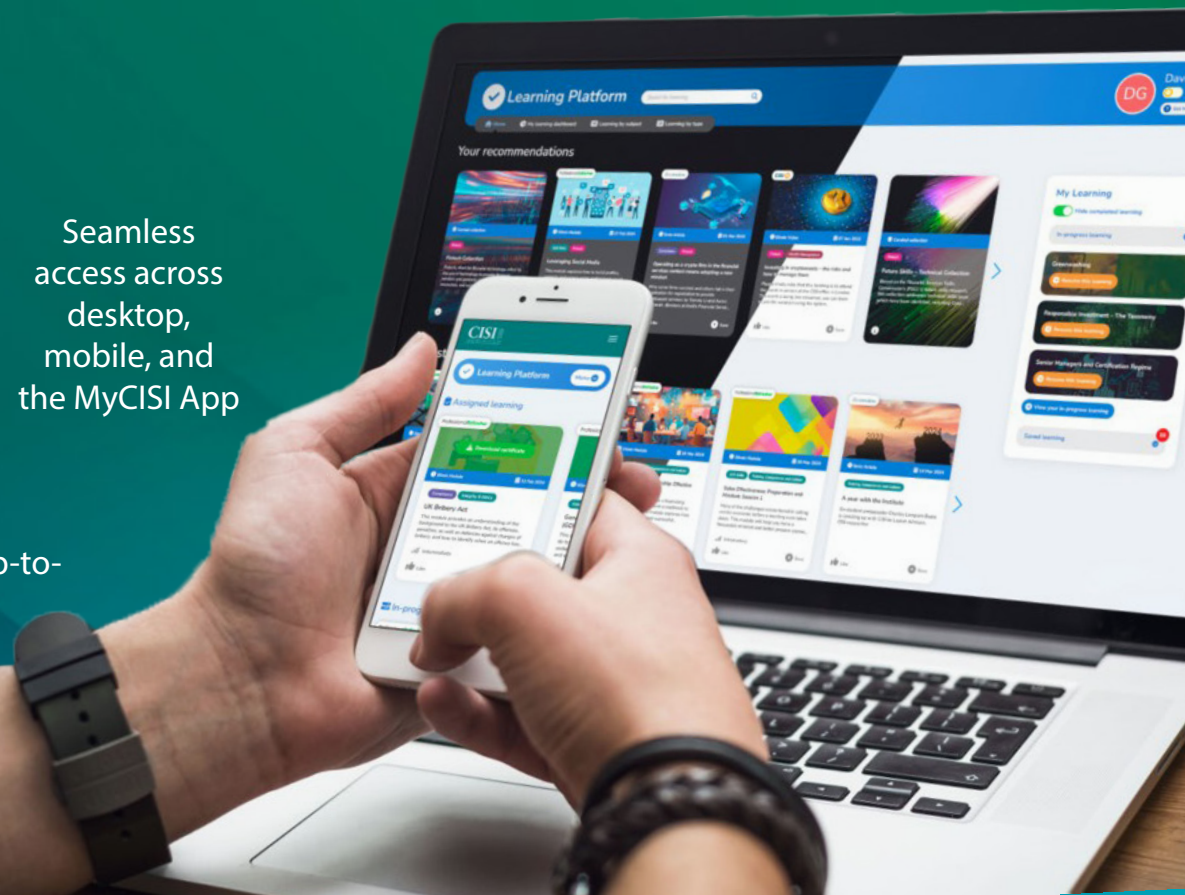
Automatic CPD tracking, with all completed activities added to your CPD Log

Personalised recommendations based on your interests and profession

Ability to create and save your own collections of favourite content

Seamless access across desktop, mobile, and the MyCISI App

The Learning Platform simplifies building knowledge, staying up-to-date, and confidently fulfilling your annual CPD requirements.



Professional recognition

Showcase your professional achievements and highlight your dedication to excellence through CISI's recognition tools and designations.



Display your CISI post-nominals and digital badges to illustrate your professional standing

Download your digital badges directly from MyCISI

Add your CISI membership and designations to your LinkedIn profile

Explore your pathway to Chartered status

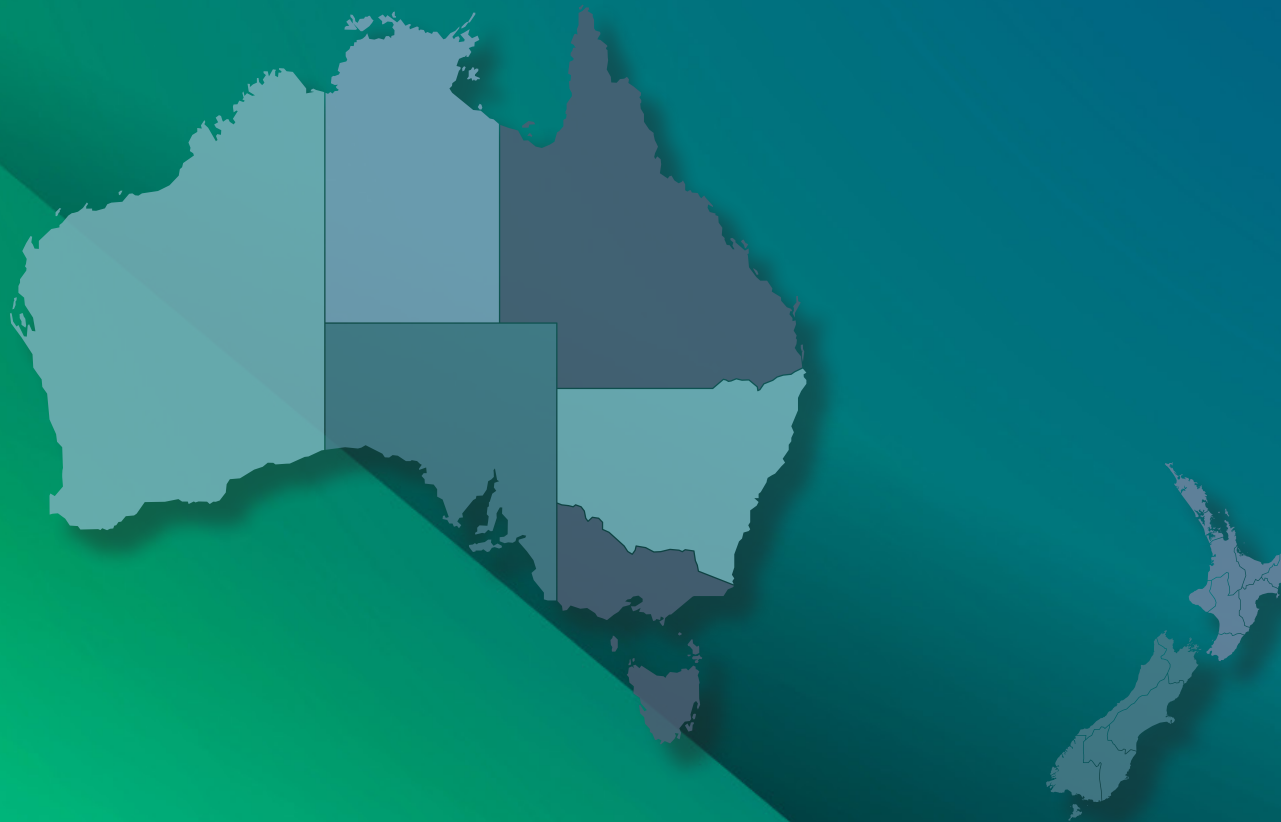
Understand your membership grade and what it signifies within the profession

Advisory Council for Australia & New Zealand

To support our expanding community across Australia and New Zealand, we have formed a dedicated ANZ Advisory Council comprising senior leaders from the financial services sector across Australia and New Zealand.

The Council plays a vital role in shaping the CISI experience for members in the region. They provide on-the-ground insights into local market priorities, guide the development of ANZ-focused learning and CPD content, and assist us in delivering events and opportunities that reflect the needs of practitioners working in Australia and New Zealand.

Their expertise ensures your CISI membership remains relevant, connected, and valuable within the ANZ market, aligning with the global standards and professionalism that CISI represents.



A global community, connected across 100+ countries

As a CISI member, you are part of a genuinely international professional body. Our work spans major financial centres and emerging markets worldwide, supported by local networks, national advisory councils, and strong relationships with regulators, firms, and education partners.

This global presence ensures you benefit from diverse perspectives, international best practices, and opportunities to connect with professionals across regions, including:

- Asia Pacific
- Middle East and North Africa
- Europe and the UK
- South Asia

Wherever your career takes you, CISI is there to support your development, uphold professional standards, and connect you with a worldwide community of financial services practitioners.



Formal links in 75 jurisdictions

Anguilla	China*	Gibraltar	Isle of Man	Laos	Mauritius	Palestine	Grenadines	Spain	Togo
Antigua and Barbuda	Côte-d'Ivoire	Greece	Japan	Latvia	Mexico	Philippines	Saint Kits and Nevis	Sri Lanka	UAE
Bahamas	Cyprus	Grenada	Jersey	Lebanon	Morocco	Qatar	Saudi Arabia	Sweden	Uganda
Bangladesh	Dominica	Guernsey	Jordan	Lithuania	Montserrat	Romania	Senegal	Switzerland	UK
Bahrain	Egypt	Guinea-Bissau	Kazakhstan	Luxembourg	Netherlands	Rwanda	Seychelles	Tanzania	USA
Benin	Estonia	Hong Kong	Kenya	Malaysia	Niger	Saint Lucia	Singapore	Tunisia	Zambia
Burkina Faso	Ethiopia	India	Kuwait	Mali	Oman	Saint Vincent and the	South Africa	Turkey	Zimbabwe
	Ghana	Ireland	Labuan	Malta	Pakistan			Thailand	

Events, CPD and networking

We organise over 500 leading CPD, social, and networking events each year, focusing on key topics shaping the financial services sector. These sessions provide opportunities to learn from experts, stay informed, and connect with like-minded professionals.

As a CISI member, you can register for and attend any of our global webinars live, or watch them on demand through CISI TV whenever it suits you.

We are also creating a dedicated events programme for Australia and New Zealand, guided by your ANZ Advisory Council.

You can view the latest events on the CISI website.

[Access the CISI Events Calendar](#)



Upholding the highest standards of integrity and ethics

Upholding high ethical standards is central to the CISI's mission. To support financial practitioners in making sound decisions, we provide a range of tools and resources that help you explore, test and strengthen your professional judgement.

IntegrityMatters

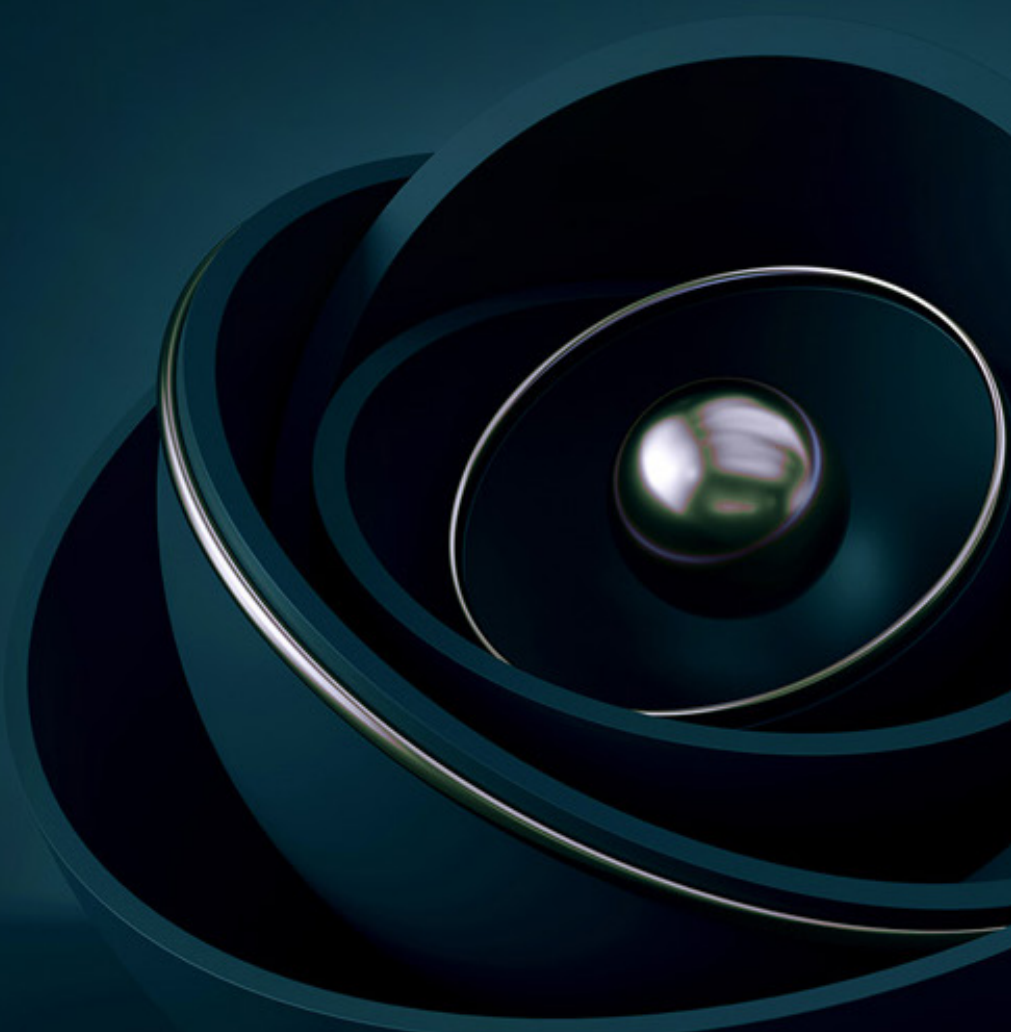
An interactive online integrity test that presents real-world dilemmas you may encounter at work. It enables you to reflect on your decision-making and evaluate how you respond to ethical challenges. You will need to complete this as part of your onboarding.

Speak Up

Guidance and resources designed to help professionals recognise when something is wrong and feel confident raising concerns, whether the issue is small or significant.

Annual Integrity Event

Our flagship global gathering where leading voices discuss current ethical issues shaping the financial services sector. You can watch the latest event on CISI TV via the Learning Platform.



Our world-renowned qualifications

CISI offers a comprehensive range of globally recognised qualifications designed to support every stage of your career. Our programmes cover key areas of financial services, helping you develop specialist knowledge, deepen your expertise, and enhance your professional credibility.

Our qualifications span:

**Wealth
/ Retail**

Financial Planning

**Capital Markets /
Corporate Finance**

**Compliance
/ Risk**

Operations


Each pathway is designed to offer a clear progression, from foundational learning to advanced and specialist levels. As you complete qualifications, you may also become eligible for different CISI membership grades, allowing you to access new designations and further demonstrate your professional standing.

Whether you're starting your learning journey or working towards a senior professional qualification, CISI qualifications provide flexible, industry-respected pathways that support your long-term development and progression within CISI membership.

Qualifications structure

UK Qualifications Levels ¹	Foundation		Qualifying	Qualifying	Advanced	Postgraduate professional		
	2	3	3	4	5	6	7	
Wealth / Retail	Fundamentals of Financial Services	The Foundation Qualification - Introduction to Investment International Introduction to Investment	International Certificate in Wealth & Investment Management	Certificate in International Advanced Wealth Management	Investment Advice Diploma	Certificate in Pension Transfers & Planning Advice*	Certificate in Private Client Investment Advice & Management	Chartered Wealth Manager Qualification (Diploma in Wealth Management)
Financial Planning			Certificate in Paraplanning	Investment Advice Diploma (Financial Planning & Advice)	Certificate in Pension Transfers & Planning Advice	Certificate in Advanced Financial Planning (Route to CFP™)	CERTIFIED FINANCIAL PLANNER (CFP™) (Diploma in Advanced Financial Planning)	
Compliance / Risk			Combating Financial Crime	Risk in Financial Services	Global Financial Compliance	Certificate in Climate Risk	Diploma in Investment Compliance	
Operations			Investment Operations Certificate (IOC)	Technology in Investment Management	Managing Operational Risk in Financial Institutions	Diploma in Investment Operations		
Capital Markets / Corporate Finance			Capital Markets Programme	Certificate in Corporate Finance	Level 4 Certificate in Investment Management	Diploma in Capital Markets	Diploma in Corporate Finance	
Islamic Finance			Islamic Finance Qualification (IFQ)					
Green, Sustainable & Responsible Finance			Green & Sustainable Finance Certificate	Climate Risk Certificate				
	3	4	4	5	5	6	7	

Find out more about our qualifications

CFPTM, CERTIFIED FINANCIAL PLANNERTM and  are certification marks owned outside the U.S. by Financial Planning Standards Board Ltd. Chartered Institute for Securities & Investment is the marks licensing authority for the CFP marks in the United Kingdom, through agreement with FPSB.

Volunteering Opportunities with the CISI

Volunteering with the CISI is a rewarding way to give back to the profession and help shape the future of financial services. Whether you are looking to share your expertise, support fellow professionals, or contribute to your local community, there are several ways to get involved.



Mentoring

Support the next generation by becoming a CISI mentor. Share your experience, guide members through career decisions, and help them build confidence as they progress in the profession. You can do this by joining the CISI's Mentoring Scheme.



Forums

Join one of our specialist Forums and contribute to thought leadership, industry debate, and the development of sector insights. Forum volunteers play an important role in shaping discussions and supporting the wider professional community.



Support us globally and locally

Support the work we do and help shape our programme of events, podcasts and webinars. This could also include assisting with education initiatives, working with universities, or helping to connect CISI with organisations and professionals in your community.



Financial Services Specialist (FSS) Programme

Become a financial services specialist and help assess candidates, support qualification development, and contribute to maintaining the highest standards of professional competence. To find out more, visit our volunteering page.

[Find out more about volunteering](#)



Support & contact

If you have any questions about your membership or need help getting started, our team is here to support you. For all enquiries, including membership, CPD, Learning Platform access or regional support across Australia and New Zealand, contact us at:

ANZ@cisi.org

If you would prefer to call us:

Australia: +61 2 7234 9208 | New Zealand: +64 9 610 5687 | UK: +44 20 7645 0777

You can also find additional guidance, FAQs and support resources at any time through your MyCISI account.

We're here to ensure you have a smooth and valuable experience as part of the CISI community.

Follow us on Social Media



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